

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER  <b>10/549617</b>
		U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
INTERNATIONAL APPLICATION NO.  PCT/GB2004/001707	INTERNATIONAL FILING DATE  21 APRIL 2004 (21.04.2004)	PRIORITY DATE CLAIMED  25 APRIL 2003 (25.04.2003)
TITLE OF INVENTION  TRACHEOSTOMY DEVICE		
APPLICANT(S) FOR DO/EO/US  Thomas GOSTELOW		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. § 371.</li> <li>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> A translation of the Annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
<b>Items 11. to 16. below concern other document(s) or information included:</b>		
<ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.  <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>14. <input type="checkbox"/> A substitute specification.</li> <li>15. <input type="checkbox"/> A change of power of attorney and/or address letter</li> <li>16. <input checked="" type="checkbox"/> Other items or information:             Notification of Transmittal of the International Preliminary Examination Report - Form PCT/IPEA/416            International Preliminary Examination Report - Form PCT/IPEA/409         </li> </ol>		
17. <input checked="" type="checkbox"/> The inventor signed the Declaration under Rule 4.17(iv)) ( U.S. Declaration) in the PCT Request Form.		

Rec'd PCT/20 SEP 2005  
10/549617

## CALCULATIONS

PTO USE ONLY

International preliminary examination fee paid to USPTO (37 CFR 1.482)

..... **\$490.00**

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) . . . . \$750.00

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... **\$1,000.00**

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims  
satisfied provisions of PCT Article 33(2)-(4) ..... **\$100.00**

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

**\$1,000.00**

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

Claims	Number Filed	Number Extra	Rate		
Total Claims	10-20 =		X \$18.00	\$0.00	
Independent Claims	1- 3 =		X \$84.00	\$0.00	

Multiple dependent claim(s)(if applicable)

**+ \$280.00**

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**TOTAL OF ABOVE CALCULATIONS =**

**\$1,000.00**

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28)

**\$**

**SUBTOTAL =**

**\$**

Processing fee of \$130.00 for furnishing the English translation later than 6 months from the earliest claimed priority date (37 CFR 1.492(e)).

Adjustment Date:	12/27/2005	WALVARAD
09/27/2005 GFREY1	\$ 00000040	500501
02 EC:1632	500.00	CR

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**TOTAL NATIONAL FEE =**

**\$1,000.00**

**Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +**

\$

**TOTAL FEES ENCLOSED =**

**\$1,000.00**

**Amount to be:  
refunded**

1

a. ☐ A check in the amount of \$1,000.00 to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 50-0501 in the amount of \$ 1,000.00 to cover the above fees.  
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **50-0501**. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status**  
**SEND ALL CORRESPONDENCE TO:**

**LAW OFFICE OF LOUIS WOOD**  
717 N. Fayette Street  
Alexandria, Virginia 22314

**SIGNATURE**

Louis Woo

NAME \_\_\_\_\_

31,730

REGISTRATION NUMBER

**Best Available Copy**